

Obstructive Sleep Apnea in Women and the Elderly

Kadınlarda ve Yaşlılarda Obstrüktif Uyku Apnesi

Atul Malhotra

Pulmonary and Critical Care, University of California San Diego, La Jolla, California, USA

Abstract

Özet

Obstructive sleep apnea (OSA) is a common disease with major consequences. Obesity and aging, as well as male gender, are recognized risk factors for OSA; however, the condition of OSA in women and the elderly has received only minimal attention. OSA in women is perhaps under-appreciated due to the traditional stereotype of an older obese man presenting with OSA. However, OSA in women, particularly post-menopause, can have important sequelae. Similarly, OSA in the elderly may have unique mechanisms, and the consequences are still debated. Further work is clearly needed to define the mechanisms and consequences of OSA in special populations.

KEY WORDS: Sleep, lung, apnea

Received/Geliş Tarihi: 04.04.2014 **Accepted/Kabul Tarihi:** 10.04.2014

Obstrüktif uyku apnesi (OSA) önemli sonuçları olan yaygın bir hastalıktır. Obezite ve yaşlanma yanı sıra erkek cinsiyet OSA için risk faktörleri olarak bilinmektedir; ancak kadınlarda ve yaşlılarda OSA durumu çok az dikkat çekmektedir. OSA ile başvuran yaşlı obez erkek şeklindeki geleneksel klişe nedeniyle kadınlarda OSA muhtemelen yeterince değer görmemektedir. Ancak, OSA kadınlarda, özellikle menopoz sonrası önemli sekeller yapabilir. Benzer şekilde, yaşlılarda OSA özgün mekanizmalara sahip olabilir ve sonuçları hâlâ tartışmalıdır. Özel popülasyonlarda OSA mekanizmalarını ve sonuçlarını tanımlamak için ileri çalışmaya açıkça ihtiyaç bulunmaktadır.

ANAHTAR SÖZCÜKLER: Uyku, akciğer, apne

Obstructive sleep apnea is a very common condition with well-established neurocognitive and cardiovascular sequelae [1]. The prevalence figures vary in different studies and in different locations, but roughly 5%-10% of the general population is affected by this condition [2]. Established risk factors for OSA include male gender, aging, obesity, and menopausal status, among others. Despite recognition of the importance of this disease, the majority of patients remains undiagnosed and untreated, emphasizing the need for further efforts in this area. Nasal CPAP (continuous positive airway pressure) is the treatment of choice for this condition, but adherence is quite variable, suggesting the need for education on existing therapies plus research into new treatment options [3].

The mechanisms underlying OSA have been intensively investigated [4]. OSA patients have anatomical compromise, which increases the predisposition for pharyngeal collapse [5]. However, protective reflexes are present during wakefulness, which increases the activity of the pharyngeal dilator muscles and maintains pharyngeal patency during wakefulness [6]. However, with the onset of sleep, these protective reflexes are lost, leading to a fall in the activity of pharyngeal dilator muscles, yielding upper airway collapse in those who are anatomically predisposed [7]. Recent data have shown that the mechanisms underlying OSA are highly variable, such that one factor explains only a fraction of the variance in OSA occurrence [8]. Other factors of the importance in OSA pathogenesis include instability in ventilatory control [4], the propensity to wake up from sleep (arousal threshold) [9], end-expiratory lung volume, and tethering of the upper airway, among other factors. Some recent evidence suggests that there may be utility to defining the mechanisms underlying upper airway collapse, such that treatments can be directed to the underlying cause rather than a 'one size fits all' approach [4]. For example, uvulopalatopharyngoplasty may well be a therapy for the subset of OSA patients who have velopharyngeal compromise [10]. Patients with ineffective upper airway dilator muscle function may well respond to hypoglossal nerve stimulation [11]. Patients with a low arousal threshold may respond to pharmacological strategies, such as sedative/hypnotics, to reduce the propensity for arousal [12]. Delaying arousal may allow the accumulation of respiratory stimuli, such as carbon dioxide and negative intrapharyngeal pressure, which will allow recruitment of pharyngeal dilator muscle activity [13]. Instability in ventilatory control (also known as high loop gain) may respond to agents that stabilize ventilation [14-17]. For example, oxygen or acetazolamide can lower loop gain and thus reduce the propensity for apnea [18]. Some patients with multiple underlying mechanisms may well require combination therapy to eliminate OSA [4].



Address for Correspondence / Yazışma Adresi: Atul Malhotra, Pulmonary and Critical Care, University of California San Diego, La Jolla, California, USA. Phone/Tel: +1 858 534 2230 E-mail/E-posta: amalhotra@ucsd.edu

©Telif Hakkı 2014 Türk Toraks Derneği - Makale metnine www.toraks.dergisi.org web sayfasından ulaşılabilir.

©Copyright 2014 by Turkish Thoracic Society - Available online at www.toraks.dergisi.org

With regard to neurocognitive sequelae, OSA patients have impaired memory consolidation, excessive daytime sleepiness, reduced quality of life, and increased risk of motor vehicle accidents compared to people without OSA. Nasal CPAP (continuous positive airway pressure) is the treatment of choice for OSA based on randomized trials. CPAP has been shown to improve daytime sleepiness, improve quality of life, and reduce the risk of motor vehicle accidents [19]. From the standpoint of cardiovascular sequelae, OSA has a causal link to the development of systemic hypertension and has strong associations with more serious adverse effects, such as myocardial infarction, congestive heart failure, and stroke [20,21] _ENREF_20. The proof of causality for OSA and hypertension came from sophisticated animal models [22], large human epidemiological studies, and multiple randomized controlled trials. Nasal CPAP therapy reduces systemic blood pressure and helps to prevent the development of systemic hypertension compared to no therapy for OSA. Whether nasal CPAP prevents hard cardiovascular events remains unclear, but it is the subject of ongoing investigation.

What are the special considerations regarding OSA in women and in the elderly? Several points about sleep apnea in women deserve emphasis. First, men are at increased risk of sleep apnea as compared to women, although the risk of OSA in women increases following menopause [23]. For example, recent data have shown that OSA (as defined by apnea hypopnea index above 15/hr) is present in roughly 13% of North American men and 6% of North American women [1]. The mechanisms underlying the male predisposition to OSA have been debated but are likely complex and involve multiple anatomical and physiological factors [24]. Second, the symptoms of OSA may be somewhat different in men as compared to women, in part due to the reports of bed partners. While snoring is quite common in men, fatigue is a more common OSA manifestation in women, for instance. Potential differences in the presentation of OSA across genders should be considered when evaluating patients at risk of OSA [25]. Similarly, the motivation for using continuous positive airway pressure (CPAP) may be different between various patients; such information can be helpful in the support of patients, particularly when struggling with issues around adherence to therapy [26]. Third, the consequences of OSA in women have been debated, since some have argued that the data are more compelling in men as compared to women. However, most, but not all, data point to similar consequences of OSA in men and women, and there is no strong biological rationale to suspect that women are protected from important hypoxemia [27,28]. Thus, OSA is an important disease in both men and women with OSA.

Regarding aging and OSA, several points deserve emphasis. Aging is a known risk factor for obstructive sleep apnea, although menopause also has important effects in women [29]. The mechanisms underlying the aging predisposition to OSA remain undefined, although some combination of anatomical susceptibility, impairment in upper airway reflexes, and instability in ventilatory control likely plays a role. The OSA history can be impacted by the absence of a bed partner in some cases or by impaired hearing and/or habituation

over time, which may affect the reporting of snoring, for example. The consequences of OSA in the elderly have also been questioned, with some data actually suggesting a protective role for OSA in people over 80 years old [30]. If OSA consequences are truly reduced in the elderly, there are several possible mechanisms to explain this effect:

- a) A survivor effect describes the possibility that the sickest patients will succumb before reaching advanced age, leading to the possibility that the healthiest patients (i.e., the survivors) are relatively resistant to OSA consequences. Some quantitative models have suggested that this possibility is unlikely (without exceedingly high OSA-attributable mortality), although further work is clearly needed in this area.
- b) The mechanisms underlying apnea may be different in the elderly compared to younger patients. For example, the negative intrathoracic pressures are of lower magnitude in older OSA patients compared to younger OSA patients [31]. This negative intrathoracic pressure is a key determinant of cardiac wall stress (or afterload) [32]. Some data suggest that younger OSA patients may be anatomically predisposed, whereas older OSA patients may be at risk due to unstable ventilatory control. Thus, the causal pathway yielding apnea may be an important determinant of apnea consequences.
- c) Proponents of theories on ischemic preconditioning suggest that intermittent hypoxemia (of mild intensity) may stimulate protective mechanisms, which may lessen subsequent risk of injury [33]. Some data have shown that mild OSA may actually be protective compared to more severe forms of the disease. Thus, the mild OSA commonly seen in the elderly may have some protective benefits, although such views remain theoretical at this stage.

Considerable progress has been made in our understanding and general awareness of the field of sleep apnea. Special populations, including both women and the elderly, have received less attention in the OSA field [34]. Further research into OSA is required in order for new treatment strategies to emerge.

Peer-review: This manuscript was prepared by the invitation of the Editorial Board and its scientific evaluation was carried out by the Editorial Board.

Conflict of Interest: No conflict of interest was declared by the author.

Financial Disclosure: The author declared that this study has received no financial support.

Hakem Değerlendirmesi: Bu makale Editörler Kurulu'nun davetiyle hazırlanmış ve bilimsel değerlendirilmesi Editörler Kurulu tarafından yapılmıştır.

Çıkar Çatışması: Yazar çıkar çatışması bildirmemiştir.

Finansal Destek: Yazar bu çalışma için finansal destek almadığını beyan etmiştir.

REFERENCES

1. Peppard PE, Young T, Barnet JH, et al. Increased Prevalence of Sleep-Disordered Breathing in Adults. *Am J Epidemiol* 2013 [Epub ahead of print]. [\[CrossRef\]](#)
2. Young T, Peppard P, Gottlieb D. The epidemiology of obstructive sleep apnea: a population health perspective. *Am J Respir Crit Care Med* 2002;165:1217-39. [\[CrossRef\]](#)
3. Salepci B, Caglayan B, Kiral N, et al. CPAP adherence of patients with obstructive sleep apnea. *Respir Care* 2013;58:1467-73. [\[CrossRef\]](#)
4. Jordan AS, McSharry DG, Malhotra A. Adult obstructive sleep apnoea. *Lancet* 2014;383:736-47. [\[CrossRef\]](#)
5. Schwab RJ. Imaging for the snoring and sleep apnea patient. *Dent Clin North Am* 2001;45:759-96.
6. Malhotra A, Pillar G, Fogel RB, et al. Pharyngeal pressure and flow effects on genioglossus activation in normal subjects. *Am J Respir Crit Care Med* 2002;165:71-7. [\[CrossRef\]](#)
7. Malhotra A, Pillar G, Fogel RB, et al. Genioglossal but not palatal muscle activity relates closely to pharyngeal pressure. *Am J Respir Crit Care Med* 2000;162:1058-62. [\[CrossRef\]](#)
8. Eckert DJ, White DP, Jordan AS, et al. Defining phenotypic causes of obstructive sleep apnea. Identification of novel therapeutic targets. *Am J Respir Crit Care Med* 2013;188:996-1004. [\[CrossRef\]](#)
9. Eastwood PR, Malhotra A, Palmer LJ, et al. Obstructive Sleep Apnoea: From pathogenesis to treatment: Current controversies and future directions. *Respirology* 2010;15:587-95. [\[CrossRef\]](#)
10. Weaver EM, Maynard C, Yueh B. Survival of veterans with sleep apnea: continuous positive airway pressure versus surgery. *Otolaryngol Head Neck Surg* 2004;130:659-65. [\[CrossRef\]](#)
11. Kezirian EJ, Goding GS Jr, Malhotra A, et al. Hypoglossal nerve stimulation improves obstructive sleep apnea: 12-month outcomes. *J Sleep Res* 2014;23:77-83. [\[CrossRef\]](#)
12. Heinzer RC, White DP, Jordan AS, et al. Trazodone increases arousal threshold in obstructive sleep apnoea. *Eur Respir J* 2008;31:1308-12. [\[CrossRef\]](#)
13. Jordan AS, White DP, Lo YL, et al. Airway dilator muscle activity and lung volume during stable breathing in obstructive sleep apnea. *Sleep* 2009;32:361-8.
14. Edwards BA, Connolly JG, Campana LM, et al. Acetazolamide attenuates the ventilatory response to arousal in patients with obstructive sleep apnea. *Sleep* 2013;36:281-5.
15. Edwards BA, Sands SA, Eckert DJ, et al. Acetazolamide improves loop gain but not the other physiological traits causing obstructive sleep apnoea. *J Physiol* 2012;590:1199-211.
16. Loewen A, Ostrowski M, Laprairie J, et al. Determinants of ventilatory instability in obstructive sleep apnea: inherent or acquired? *Sleep* 2009;32:1355-65.
17. Younes M, Ostrowski M, Thompson W, et al. Chemical control stability in patients with obstructive sleep apnea. *Am J Respir Crit Care Med* 2001;163:1181-90. [\[CrossRef\]](#)
18. Wellman A, Malhotra A, Jordan AS, et al. Effect of oxygen in obstructive sleep apnea: role of loop gain. *Respir Physiol Neurobiol* 2008;162:144-51. [\[CrossRef\]](#)
19. Jenkinson C, Davies, RJ, Mullins, R, Stradling, JR. Comparison of therapeutic and subtherapeutic nasal continuous positive airway pressure for obstructive sleep apnoea: a randomised prospective parallel trial. *Lancet* 1999;353:2100-5. [\[CrossRef\]](#)
20. Gottlieb DJ. The Sleep Heart Health Study: a progress report. *Curr Opin Pulm Med* 2008;14:537-42. [\[CrossRef\]](#)
21. Montesi SB, Edwards BA, Malhotra A, Bakker JP. The effect of continuous positive airway pressure treatment on blood pressure: a systematic review and meta-analysis of randomized controlled trials. *J Clin Sleep Med* 2012;8:587-96.
22. Montesi SB, Bajwa EK, Malhotra A. Biomarkers of sleep apnea. *Chest* 2012;142:239-45. [\[CrossRef\]](#)
23. Young T, Finn L, Austin D, Peterson A. Menopausal status and sleep-disordered breathing in the Wisconsin Sleep Cohort Study. *Am J Respir Crit Care Med* 2003;167:1181-5. [\[CrossRef\]](#)
24. Malhotra A, Huang Y, Fogel R, et al. The male predisposition to pharyngeal collapse: importance of airway length. *Am J Respir Crit Care Med* 2002;166:1388-95. [\[CrossRef\]](#)
25. Carden K, Malhotra A. The debate about gender differences in obstructive sleep apnea. *Sleep Med* 2003;4:485-7. [\[CrossRef\]](#)
26. Hoy CJ, Vennelle M, Kingshott RN, et al. Can intensive support improve continuous positive airway pressure use in patients with the sleep apnea/hypopnea syndrome? *Am J Respir Crit Care Med* 1999;159:1096-100. [\[CrossRef\]](#)
27. Campos-Rodriguez F, Martinez-Garcia MA, de la Cruz-Moron I, et al. Cardiovascular mortality in women with obstructive sleep apnea with or without continuous positive airway pressure treatment: a cohort study. *Ann Intern Med* 2012;156:115-22. [\[CrossRef\]](#)
28. Punjabi NM, Caffo BS, Goodwin JL, et al. Sleep-disordered breathing and mortality: a prospective cohort study. *PLoS Med* 2009;6:e1000132. [\[CrossRef\]](#)
29. Malhotra A, Huang Y, Fogel R, et al. Aging influences on pharyngeal anatomy and physiology: the predisposition to pharyngeal collapse. *Am J Med* 2006;119:72.e9-14.
30. Lavie P, Herer P, Peled R, et al. Mortality in sleep apnea patients: a multivariate analysis of risk factors [see comments]. *Sleep* 1995;18:149-57.
31. Kobayashi M, Namba K, Tsuiki S, et al. Clinical characteristics in two subgroups of obstructive sleep apnea syndrome in the elderly: comparison between cases with elderly and middle-age onset. *Chest* 2010;137:1310-5. [\[CrossRef\]](#)
32. Malhotra A, Muse VV, Mark EJ. Case records of the Massachusetts General Hospital. Weekly clinicopathological exercises. Case 12-2003. An 82-year-old man with dyspnea and pulmonary abnormalities. *N Engl J Med* 2003;348:1574-85. [\[CrossRef\]](#)
33. Kaczmarek E, Bakker JP, Clarke DN, et al. Molecular biomarkers of vascular dysfunction in obstructive sleep apnea. *PLoS One* 2013;8:e70559. [\[CrossRef\]](#)
34. Martinez-Garcia MA, Campos-Rodriguez F, Catalan-Serra P, et al. Cardiovascular mortality in obstructive sleep apnea in the elderly: role of long-term continuous positive airway pressure treatment: a prospective observational study. *Am J Respir Crit Care Med* 2012;186:909-16. [\[CrossRef\]](#)